SEM and Institutional Success: Integrating Enrollment, Finance and Student Access

Contents

	About the Authorsi
	Introductioniii
	APTER ONE
by D	ON HOSSLER
	Public Policy Landscape: ancing Higher Education in America
	A Turbulent Financial Context5
	Growing Competition
	Demographic Shifts
	A Contentious Public Policy Context
	Strategic Enrollment Management and Uncertainty Reduction
CHA	APTER TWO
<i>by</i> В	OB BONTRAGER
A D	efinition and Context for Current SEM Practice15
	SEM Defined17
	The Evolution of SEM Practice
	Environmental Challenges
	The Financial Dynamics of SEM
	Summary
	APTER THREE GIL BROWN
Hig	her Education Costs and the Role of Tuition33
	The Complex Question of College Costs
	A Budgetary Perspective on Higher Education Costs
	Higher Education Budgeting and Accounting41
	Tuition Revenue and Research Support43
	Summary

SEM and Institutional Success: Integrating Enrollment, Finance and Student Access

CHAPTER FOUR by GIL BROWN

A SEM Perspective on Budgeting47		
Public Good, Private Benefits and Access49		
Using SEM to Increase Program Quality and Expand Access		
Budgeting Enrollment Outcomes		
Summary 57		
CHAPTER FIVE		
by BOB BONTRAGER and GIL BROWN		
Integrating Enrollment and Budget Planning: The SEM Planning Model		
Current Practices		
The SEM Planning Model		
Implementing the SEM Planning Model		
Using the SEM Planning Model to Evaluate Specific Initiatives74		
Summary		
CHAPTER SIX		
by BOB BONTRAGER		
The Enrollment Leadership Imperative89		
References91		

Introduction

American higher education faces unprecedented challenges. The broad and complex scope of demographic, social, economic, and competitive forces make this a time unlike others that have gone before. Most of the major analyses of higher education in recent years have concluded that the number of Americans attaining a higher education credential will need to increase dramatically, with the largest increase needed among lower-income and underprepared students who are least likely to get such an education now. If ever there was a need to shift paradigms in the delivery of higher education, this is it.

It is the central thesis of this book that strategic enrollment management (SEM) offers a useful and unique conceptual framework for meeting today's challenges at the institutional level. By promoting clarity of institutional mission from the perspective of student access and success, SEM provides a paradigm for cutting through the clutter of competing priorities to focus on what will best serve student needs and support their educational attainment. The resulting alignment of institutional mission, enrollment goals, targeted investments, and budget outcomes enables planning that is more strategic, in turn leading to improved outcomes for the institution as a whole, as well as individual departments. But the potential benefits of SEM extend beyond institutions themselves. Because it operates from a student-centric mindset and directly affects enrollment patterns, SEM can and does play an important role in promoting student access and success, thereby addressing issues that are pertinent to American education as a whole.

The practice of SEM is not without controversy. As SEM practice has emerged and evolved over the past thirty years, the profession has experienced the growing pains inherent to any new endeavor. There have been a variety of definitions and manifestations of SEM practice that have led to a muddled understanding of what SEM actually is. Some observers have noted negative consequences resulting from

Introduction iii

SEM and Institutional Success: Integrating Enrollment, Finance and Student Access

specific SEM tactics, leading to criticism of the very concept of SEM. The purposes of this book are to:

- Describe the current financial and enrollment challenges facing American higher education
- Provide a definition and context for current SEM practice
- Offer new perspectives on the interplay of SEM and institutional finance
- Provide a SEM planning model that will enable institutions to concurrently improve mission, enrollment, and financial outcomes
- Promote the use of SEM concepts to improve student access and success

Ultimately, this book is part of the ongoing dialogue regarding the opportunities and challenges that accrue to higher education in the United States. The authors welcome comments and suggestions as we collectively seek more effective ways of meeting the needs of the students we serve.

Bob Bontrager Corvallis, Oregon August 13, 2008

iv Introduction